

**James B. Patterson, Esquire**

555 Belle Avenue  
Loveland, California 90321  
privateemail@gmail.com  
626-555-1212

**Profile:** Highly accomplished, results-driven attorney with fifteen years of diverse legal and business experience contributing to superior organizational performance through alignment of advanced legal, strategic and tactical skills. Successful management of legal, governance, regulatory and compliance functions for a geographically diverse publicly traded company. Superior communication and collaboration skills cultivating organizational and professional relationships Excellent leadership and negotiation skills, team player with a strong sense of fairness and integrity.

**Experience:** **HealthCare Corporation**, Loveland, California  
**Senior Vice President, General Counsel and Corporate Secretary**  
December 1, 2003-Present

Responsible for multiple corporate functions of a multi-billion Company with 10,000 employees known as a leading provider of long-term care, rehabilitation and physician services, operating in 5 states with over 50 facilities. Member of senior management team reporting to the CEO. Advisor to the board of directors and senior executives. Experience in transactions, litigation, regulatory compliance, management and policymaking.

- Expertly coordinated and monitored legal and regulatory process for sale of company to private equity firm
- Served as General Counsel to early-stage company, designing framework for all required legal activities/responsibilities, including regulatory, governance, shareholder and SEC compliance in highly-regulated industry
- Primary point of contact for governance matters with shareholders, employees, regulators and board of directors
- Responsible for legal review and comment on proposed laws and regulations
- Regularly advising the board of directors and Committees of the Board
- Preparing and overseeing annual meetings of shareholders
- Overseeing all legal issues attendant to filings with the Securities and Exchange Commission, local and federal agencies
- Member of the Sarbanes-Oxley Steering Committee, Disclosure Committee, Compliance Committee and Investment Committee
- Representing the Company in relations with the United States Attorney's Office, Department of Justice, Centers for Medicare and Medicaid Services, Department of Labor, States Attorney General Offices, Medicaid Fraud Control Units and state regulators
- Managing diverse portfolio of litigation and regulatory proceedings, including commercial, employment, environmental, as well as government investigations

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### **Experience –**

#### **Continued:**

- Conducting training on False Claims Act, Anti-Kickback Statute, Stark and Medicare and Medicaid conditions of participation
- Managing outside counsel
- Currently assisting in transitioning and coordination of Law Department functions

#### **National HealthCare Pharmacies** - Los Angeles, CA

Long term care and institutional pharmacy Company. Company filed for Chapter 11 bankruptcy in 1999 and was successfully reorganized in 2000.

#### **Chief Compliance Officer**, 2001 to December 2003

- Responsible for federal and more than 25 state regulatory approvals for the spin off of long term care operations into a separate publicly traded Company and saving the Company approximately \$1 Million in external legal costs
- Devised effective strategies and financial initiatives to favorably resolve legal/financial claims with the federal government on behalf of the Company in the bankruptcy process
- Utilized exceptional negotiation skills to successfully lead settlement discussions and help organization achieve distinction of being the first provider to resolve federal government claims without receiving a Corporate Integrity Agreement
- Administering the Company's Corporate Integrity Program
- Responding to employee questions and complaints from the Company's hotline
- Reporting and advising the board of directors on regulatory and compliance matters
- Partnering with marketing department to raise compliance awareness
- Conducting fraud and abuse training for senior executives, pharmacists and sales representatives
- Successfully negotiated settlement of a complex Drug Enforcement Agency investigation and Qui Tam claims

#### **Deputy General Counsel**, 2000 to 2001

#### **Associate General Counsel**, 1998 to 2000

- Coordinated creation and implementation of internal compliance tracking system thereby enhancing Company's efficiency and monitoring of its regulatory performance
- Negotiating and drafting of corporate contracts such as managed care, corporate purchasing and physician services
- Advising Skilled Nursing Home and Pharmacy providers in achieving and maintaining regulatory compliance
- Overseeing litigation of appeals regarding Medicare and Medicaid deficiencies and sanctions
- Providing legal advice regarding end of life decisions and Durable Power of Attorney

#### **Great Healthcare Corporation**, Oakland, CA

#### **General Counsel**, 1996-March 1998

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### **Experience –**

#### **Continued:**

**Associate Counsel**, November 1992-1996

Provider of long term care, assisted living, rehabilitation, pharmacy and ambulance services. Related entities owned and operated two theaters for the performing arts and multiple commercial and residential real estate holdings.

- Defending workers' compensation, general and professional liability claims
- Litigating appeals of Medicare and Medicaid cost reports and state and federal regulatory actions
- Coordinating and leading negotiations regarding Department of Justice, United States Attorney's Office, Health and Human Services and State Attorney General's civil and criminal investigations
- Conducting negotiations with Actors' Equity

#### **Education:**

J.D. 1992, Stanford Law School

B.A. 1987 Cal State University, Public Administration

#### **Professional Information:**

Admitted to practice, CA 1992

Member of: Stanford Law School Health Policy Board

#### **Associations:**

American Health Care Association, Legal Sub-committee

American Health Lawyers Association

American Bar Association, Business Law Section and Association of Corporate Counsel

#### **Selected**

#### **Presentations:**

American Health Lawyers Association, Health Law Conference, 2007

Stanford Law School, Health Law Symposium, 2007

Business Leaders of the U.S. Health Care Industry, CA and D.C.

CA Bar Association, Legal Issues in an Age of Aging, 2003, 2004, 2005